

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nikolai G. Nikolov, et al.

Serial No: 10/750,067

Filed: December 30, 2003

For: ByteCode Modification For Testing, Debugging And/Or Monitoring Of Virtual Machine

Based Software

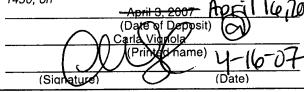
Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Kendall, Chuck O.

**PATENT** 

Art Unit: 2192

Confirmation No.: 9096

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on



## INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Submission of the enclosed information is not to be construed as an admission that the enclosed information is material to patentability of the present application or that the claims of the present application and claims of the application(s) associated with the enclosed information are not patentably distinct.

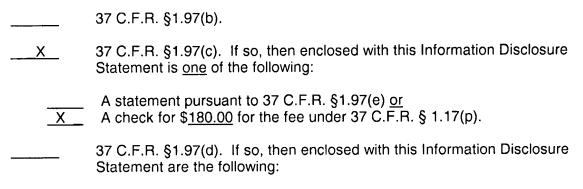
Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

The Applicant would like to bring to the Examiners attention an Office Action received in the following related co-pending applications, U.S. Patent Application No. 10/750,396, entitled, "CLASSFILE CONVERSION INTO AN ORGANIZATION OF OBJECTS, AND MODIFICATION THEREOF, TO EFFECT BYTECODE MODIFICATION," filed on December 30, 2003 and U.S. Patent Application No. 10/749,617, entitled, "EXECUTION OF MODIFIED BYTE CODE FOR DEBUGGING, TESTING AND/OR MONITORING OF OBJECT ORIENTED SOFTWARE," filed on December 30, 2003. Applicant has provided the Examiner with a copy of the related Office Action and Notice of Allowance, claims as they stood in the related application prior to the mailing of the Office Action, a copy of the claims as they were presented to the PTO in response to the Office Action and a copy of the allowed claims.

Applicants respectfully request that the above-listed items <u>not</u> be printed on the face of any patent which may issue from the present application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-

2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/16/05

Robert B. O'Rourke Reg. No. 46,972

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute	for Form 1449	/PTO		Complete if Known			
INFORMATION DISCLOSURE					Application Number	10/750,067	
STE				Filing Date	12-30-03		
/ 0	STATI	ΞM	ENT BY APPLICAN	First Named Inventor:	Nikolai G. Nikolov		
APR 197	-20 1		s many sheets as necessary)	Art Unit	2192		
APR I V	(g)			Examiner Name	Kendall, Chuck O.		
Street THADE	<b>1</b>		of	3	Attorney Docket Number	6570P034	
THADE			U.S. PATEN	T DOCUMENTS			
Examiner Initials*	Cite No. Numb		Document Number ber-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-	6.026,237	02-15-2000	Berry, et al.		
		US-	6,381,735	04-30-2002	Hunt		
		us- 5,748,963		05-05-1998	Orr		
		us- 6,118,940		09-12-2000	Alexander, et al.		
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FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code' Number' Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>					

Examiner	Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449/PTO					Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)					Application Number	10/750,067		
					Filing Date	12-30-03		
					First Named Inventor:	Nikolai G. Nikolov		
	(use as i	nany snee	ets as neces	sary)	Art Unit	2192		
				· · · · · · · · · · · · · · · · · · ·	Examiner Name	Kendall, Chuck O.		
Sheet	2		of	3	Attorney Docket Number	6570P034		
				NON PATENT LIT	TERATURE DOCUMENTS			
Examiner	Cite	www.w	3.org/TR/D	OOM-Level-2-Core/in	troduction.html		T <sup>2</sup>	
Initials*	No	What is Document Object Model?, 13 November 2000, pp. 1-7.						
	-	www.c	afeonlech	ne.orge/books/xmlj	ava/chapters/ch09.html			
		Chapte	er 9, <u><b>The</b></u>	Document Object	t Model, 2001, 2002, pp. 1-2.			
		www.c	afeonlech	ne.org/books/xmlja	va/chapters/ch09s04.html			
					Model, Trees, 2001, 2002, p	p. 1-10.		
		www.c	afeonlect	ne.org/books/xmlja	va/chapters/ch09s05.html			
	Chapter 9, <u>The Document Object Model DOM Parsers for Java</u> , 2001, 2002, pp.  www.cafeonleche.org/books/xmljava/chapters/ch09x06.html							
Chapter 9, The Document Object Model Parsing documents with a DOM Parser 2002, pp. 1-10.  www.caseonleche.org/books/xmljava/chapters/ch09s09.html						With a Down aroot, 2001,		
						2001 2002 pp 1-3		
	Chapter 9, The Document Object Model JAXP Serialization, 2001, 2002, pp. 1-3.							
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Signature	Considered	
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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Substitute for Form 1449/PTO					Complete if Known			
INFORMATION DISCLOSURE			Application Number	10/750,067				
			Filing Date	12-30-03				
STATEMENT BY APPLICANT				_	First Named Inventor:	Nikolai G. Nikolov		
	(use as r	nany shee	ts as neces	sary)	Art Unit	2192	2192	
					Examiner Name	Kendall, Chuck O.		
Sheet	3		of	3	Attorney Docket Number	6570P034		
			REL	ATED PATENT A	PPLICATION INFORMATION	1		
Examiner Initials*	Cite No <sup>1</sup>	ite	m (book, r	nagazine, journal, se number(s), publ	AL LETTERS), title of the article rial, symposium, catalog, etc.), daisher, city and/or country where p	ate, page(s), volum ublished	e-issue	T <sup>2</sup>
		NIKOLAI G. NIKOLOV, "EXCUTION OF MODIFIED BYTE CODE FOR DEBUGGING, TESTING AND/OR MOINTORING OF OBJECT ORIENTED SOFTWARE", Serial number 10/749,617, filed on December 30, 2003, Office Action mailed January 18, 2007. Copy of the Office Action and claims as they were amended in response to the office action.						
		NIKOLAI G. NIKOLOV, "CLASSFILE CONVERSION INTO AN OGRANIZAITON OF OBJECTS, AND MODIFICATION THEREOF, TO EFFECT BYTECODE MODIFICATION", Serial number 10/750,396, filed on December 30, 2003, Notice of Allowance mailed January 3, 2007. Copy of the Office Action and claims as allowed.						
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Examiner						Date		

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Signature

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